

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
P-4356

In Re Application Of: **JERRY WAESE**

Serial No.	Filing Date	Examiner	Group Art Unit
08/909,489	August 12, 1997	Not Yet Assigned	2763

Title:

METHOD AND SYSTEM FOR SIMULATING CONSCIOUSNESS IN A COMPUTER GENERATED CHARACTER



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever occurs last.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

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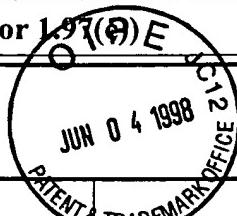
OR

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
 P-4356

In Re Application Of: **JERRY WAESE**



Serial No.
 08/909,489

Filing Date
 August 12, 1997

Examiner
 Not Yet Assigned

Group Art Unit
 2763

Title:

METHOD AND SYSTEM FOR SIMULATING CONSCIOUSNESS IN A COMPUTER GENERATED CHARACTER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 08-2284 as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of _____
 - Credit any overpayment.
 - Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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Lisa Samuels
 Signature

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on *6/2/98* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lisa Samuels

Signature of Person Mailing Correspondence

Lisa Samuels

Typed or Printed Name of Person Mailing Correspondence

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ATTORNEY DOCKET NO.: P-4356

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Jerry WAESE

Serial No.: 08/909,489

For: METHOD AND SYSTEM FOR
SIMULATING CONSCIOUSNESS IN
A COMPUTER GENERATED
CHARACTER

Filed: June 2, 1998

Assistant Commissioner for Patents
Washington, D.C. 20231

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Group Art Unit: 2763

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Examiner: Not Yet Assigned

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INFORMATION DISCLOSURE STATEMENT

Attached is form PTO 1449 listing prior art presently known to the applicant. Copies of each document have been enclosed.

U.S. Patent No.

Patentee

Issued

4,333,152

Best

6/1/82

4,445,187

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4/24/84

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2/4/86

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Harless

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5,347,306

Nitta

9/13/94

5,461,714

Honiden et al.

10/24/95

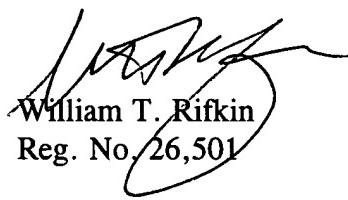
5,490,232

Asano et al.

2/6/96

It is believed that none of these references, alone or in proper combination, discloses or suggests the invention claimed by the applicants. Applicant requests that the Examiner review and consider the references and make them references of record.

Respectfully submitted,



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Reg. No. 26,501

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Date: June 2, 1998